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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/815,581	03/31/2004	Michael J. Antal JR.	UOHIP006D1	9383
22434	7590 08/09/2005		EXAM	INER
BEYER WEAVER & THOMAS LLP			NECKEL, ALEXA DOROSHENK	
P.O. BOX 70250 OAKLAND, CA 94612-0250			ART UNIT	PAPER NUMBER
		•	1764	
			DATE MAILED: 08/09/2005	5

Please find below and/or attached an Office communication concerning this application or proceeding.

	<b>₽</b>				
	Application No.	Applicant(s)			
Office Action Summer	10/815,581	ANTAL, MICHAEL J.			
Office Action Summary	Examiner	Art Unit			
	Alexa D. Neckel	1764			
The MAILING DATE of this communicati Period for Reply	on appears on the cover sheet w	vith the correspondence address			
A SHORTENED STATUTORY PERIOD FOR I THE MAILING DATE OF THIS COMMUNICAT  - Extensions of time may be available under the provisions of 37 after SIX (6) MONTHS from the mailing date of this communicat of the period for reply specified above is less than thirty (30) day  - If NO period for reply is specified above, the maximum statutory  - Failure to reply within the set or extended period for reply will, by Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	TION.  CFR 1.136(a). In no event, however, may a lion.  s, a reply within the statutory minimum of thir period will apply and will expire SIX (6) MOI wy statute, cause the application to become A	reply be timely filed  rty (30) days will be considered timely.  NTHS from the mailing date of this communication.			
Status					
1) Responsive to communication(s) filed on	<i>5-12-05</i> .				
	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice ur					
Disposition of Claims	,	•			
4) Claim(s) is/are pending in the app	lication				
4a) Of the above claim(s) is/are with					
5) Claim(s) is/are allowed.	indiawii iroin consideration.				
6) Claim(s) 20-24 is/are rejected.					
•					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction a	and/or election requirement.				
Application Papers		•			
9)☐ The specification is objected to by the Exa	miner.				
10) The drawing(s) filed on is/are: a)	] accepted or b)☐ objected to	by the Examiner.			
Applicant may not request that any objection t					
Replacement drawing sheet(s) including the c					
11) The oath or declaration is objected to by the	ne Examiner Note the attached	Office Action or form PTO 153			
		Tomice Action of John P 10-132.			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for fo	reign priority under 35 U.S.C. §	119(a)-(d) or (f).			
a) ☐ All b) ☐ Some * c) ☐ None of:		·			
<ol> <li>Certified copies of the priority docu</li> </ol>	ments have been received.				
2 Certified copies of the priority documents.	ments have been received in A	pplication No			
<ol><li>Copies of the certified copies of the</li></ol>					
application from the International Bo					
* See the attached detailed Office action for a	a list of the certified copies not	received.			
•	·				
Attachment(s)					
1) Notice of References Cited (PTO-892)	<b>,,</b> □				
<ul> <li>7) Notice of References Cited (PTO-692)</li> <li>2) Discrete of Draftsperson's Patent Drawing Review (PTO-94)</li> </ul>		ummary (PTO-413) )/Mail Date			
<ol> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/S</li> </ol>	B/08) 5) D Notice of In	formal Patent Application (PTO-152)			
Paper No(s)/Mail Date	6) Other:				
S. Patent and Trademark Office TOL-326 (Rev. 1-04) Offi	ce Action Summary	Part of Paper No./Mail Date 20050803			
116					

### **DETAILED ACTION**

## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 20-22 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Antal Jr. et al. ("High-Yield Biomass Charcoal" from Energy & Fuels 1996, Vol. 10, Number 3, pages 652-658) in view of Bergman (WO 98/51434).

With respect to claim 20, Antal Jr. et al. discloses a reactor (figure 2) which comprises a housing (pressure vessel) with a sealable opening (pressure-tight hinged closure) for receiving a removable canister with a lid; heaters which heat the distal end (2) of the canister (fig. 2) (page 653, col. 2, paragraph under "Apparatus and Experimental Procedures"); a first valved exit orifice (3) at a proximal end (1) and a second valved exit (4) orifice at a distal end (2) of the housing (pressure vessel); and a valved entry orifice (5) at the proximal end (1) (page 653, col. 2, paragraph under "Apparatus and Experimental Procedures").

Figure 2 of Antal Jr. et al. does not provide reference numbers, so the examiner has numbered various elements below to provide further clarification of how the reference has been applied.

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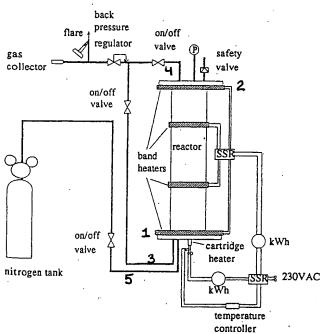


Figure 2. Schematic of the laboratory reactor.

The canister having a lid and by being lowered into the pressure vessel would result in minimal exposure of the canister contents to the atmosphere (page 653, col. 2, paragraph under "Apparatus and Experimental Procedures").

Antal Jr. et al. fails to disclose any insulation in the device.

Bergman also teaches a pressure vessel (1) with a removable carrier (5) and heating devices (13) placed within the vessel (1) and provides insulation (4) so that it surrounds at least a portion of the carrier (5) (figure 1) so that heat dissipation to the surrounding pressure vessel wall is low (p. 5, line 22 –p. 6, line 20). It would have been

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obvious to one of ordinary skill in the art at the time the invention was made to provide insulation to the canister within the pressure vessel of Antal Jr. et al., as taught by Berman, in order to prevent dissipation of heat to the pressure vessel wall.

With respect to claim 21, Antal Jr. et al. further discloses wherein the heaters are resistance heaters (page 653, col. 2, paragraph under "Apparatus and Experimental Procedures").

With respect to claim 22, Antal Jr. et al. further discloses wherein a flare (burner) is in communication with the second valved exit (4) (see figure 2).

With respect to claim 24, the schematic illustration of Antal Jr. et al. has been applied so that the proximal end (1) is at the bottom and the distal end (2) is at the top of the vertically arranged device shown in figure 2. The device of Antal Jr. et al. arranged so that the proximal end (1), and its associated elements, is at the top while the distal end (2), and its associated elements, is at the bottom of the vessel would still be the same apparatus (only turned on end). It appears from the description of the operation of Antal Jr. et al.'s device, that in such an orientation, the device would continue to be operational. It has been held that there is no invention in shifting the location of parts when the operation of the device would not thereby be modified. In re

3. Claim 23 is rejected under 35 U.S.C. 103(a) as being unpatentable over Antal Jr. et al. ("High-Yield Biomass Charcoal" from Energy & Fuels 1996, Vol. 10, Number 3, pages 652-658) in view of Bergman (WO 98/51434) as applied to claim 20 above, and further in view of Kippelman (5,290,523).

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The schematic of the apparatus of Antal Jr. et al (figure 2) only illustrates that the valved entry (5) passes into the proximal end (1) but fails to illustrate if it extends into the canister.

Koppelman discloses a method and apparatus for upgrading carbonaceous fuel which heats and pressurizes (col. 10, lines 24-40) bio-mass material to transform it into charcoal (col. 11, lines 17-19). Koppelman further teaches wherein preheating the inert gas feed results in reductions in overall operation time (col. 8, lines 52-60). It would have been obvious to one of ordinary skill in the art at the time the invention was made to extend the feed line of Antal Jr. et al. into the canister so that the heat of operation would preheat the nitrogen feed in order to achieve reductions in operation time as taught by Koppelman.

## Response to Arguments

## **Specification**

The objections to the abstract, title and disclosure are withdrawn due to applicant's amendments.

# 35 USC 112, Second Paragraph

The rejection of claims 20-24 under 35 USC 112, second paragraph are withdrawn due to applicant's amendments to the claims.

### 35 USC 103

Applicant argues that Antal is not designed to have in input of air and that "there is no way for Antal to 'introduce air at the proximal end of the canister through a valved air entry orifice'".

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The examiner respectfully disagrees. Firstly, the material worked upon (such as air) is not given patentable weight in an apparatus claim, MPEP 2115, and in this case amounts to a recitation of intended use of the claimed device. Therefore the limitation of the valve being used for air is not given weight in the claim. Since the apparatus of Antal does provide for a valved entry (5) into the proximal end of the canister, the apparatus of Antal continues to read on the claim as applied.

Applicant argues that Koppelman does not disclose how far a line should be extended into a reactor in order to achieve the preheating taught, but also states "only be extending line 5 substantially to the top of the reactor would the required downflow be achieved".

The examiner is slightly confused as to if applicant's position. While applicant states that Koppelman does not provide a teaching for the amount of line to be extended into the reactor, applicant also states that the only option when applying the general teaching of Koppelman to Antal would result in the line extending almost the entire length of the reactor.

In response to applicant's argument that the instant invention does not extend the line through the reactor for preheating, the fact that applicant has recognized another advantage which would flow naturally from following the suggestion of the prior art cannot be the basis for patentability when the differences would otherwise be obvious. See *Ex parte Obiaya*, 227 USPQ 58, 60 (Bd. Pat. App. & Inter. 1985).

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### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in 4. this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the 5. examiner should be directed to Alexa D. Neckel whose telephone number is 571-272-1446. The examiner can normally be reached on Monday - Thursday from 9:00 AM -7:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on 571-272-1444. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Alexa D. Neckel Examiner Art Unit 1764

August 3, 2005

ALEXA DOROSHENK Neckel